

ACCESSING the National Institute of Allergy and Infectious Diseases (NIAID), NIH RESOURCES AND RESEARCH FUNDING

International Seminar of Science Advisory Committee

“Combating Global Infections”

Irkutsk, Lystvyanka

September 21-24, 2009

**Paula S. Strickland, Ph.D., MPH
Director, Office of International Extramural
Activities, DEA, NIAID
NIH, DHHS, USA**

Purpose

- To provide information on NIH and NIAID research funding opportunities
- To assist researchers and administrators in understanding the grants application and submission process
- To provide information on peer review and investigator resources



NIH Overview

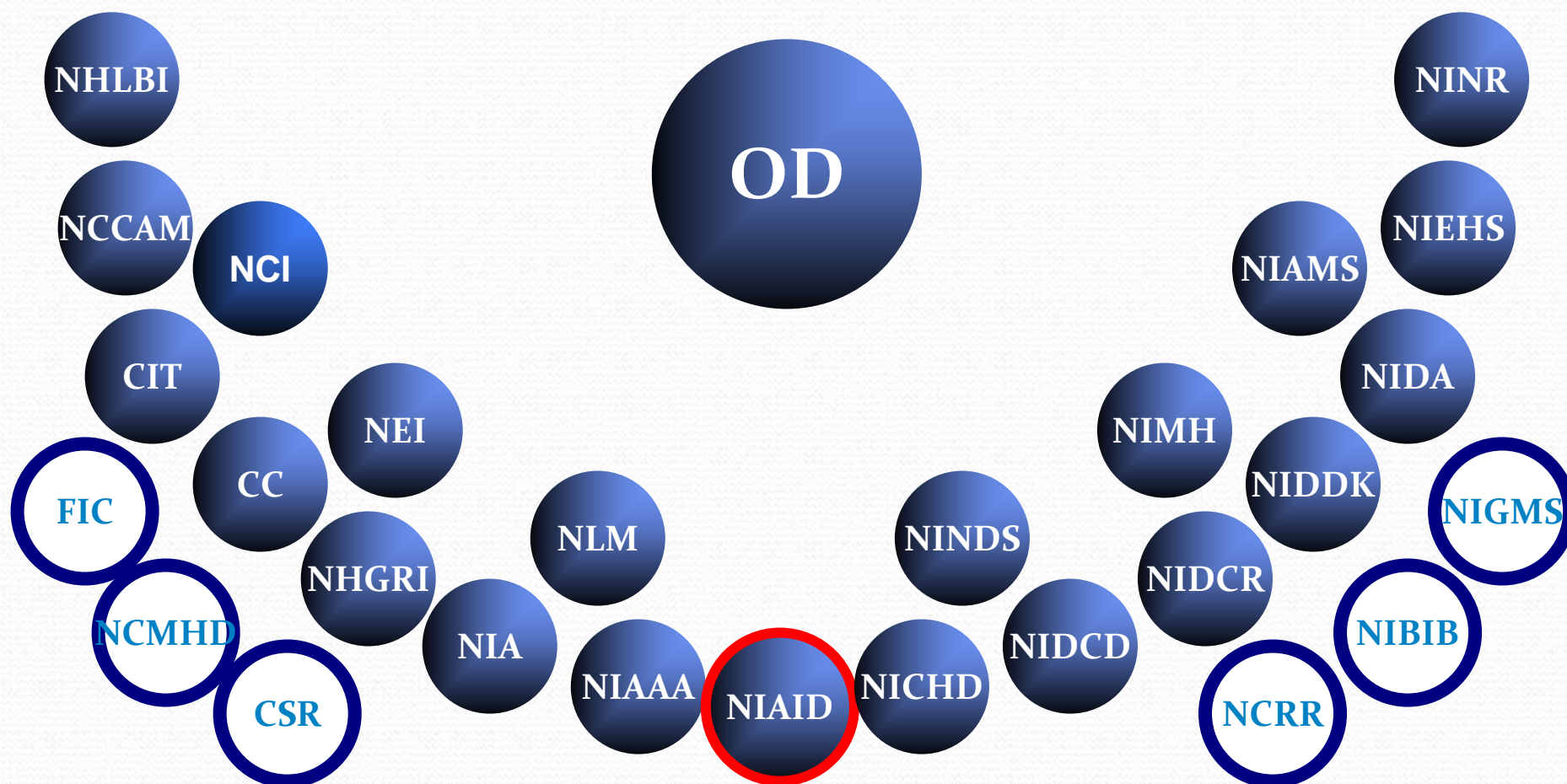


Mission of the NIH



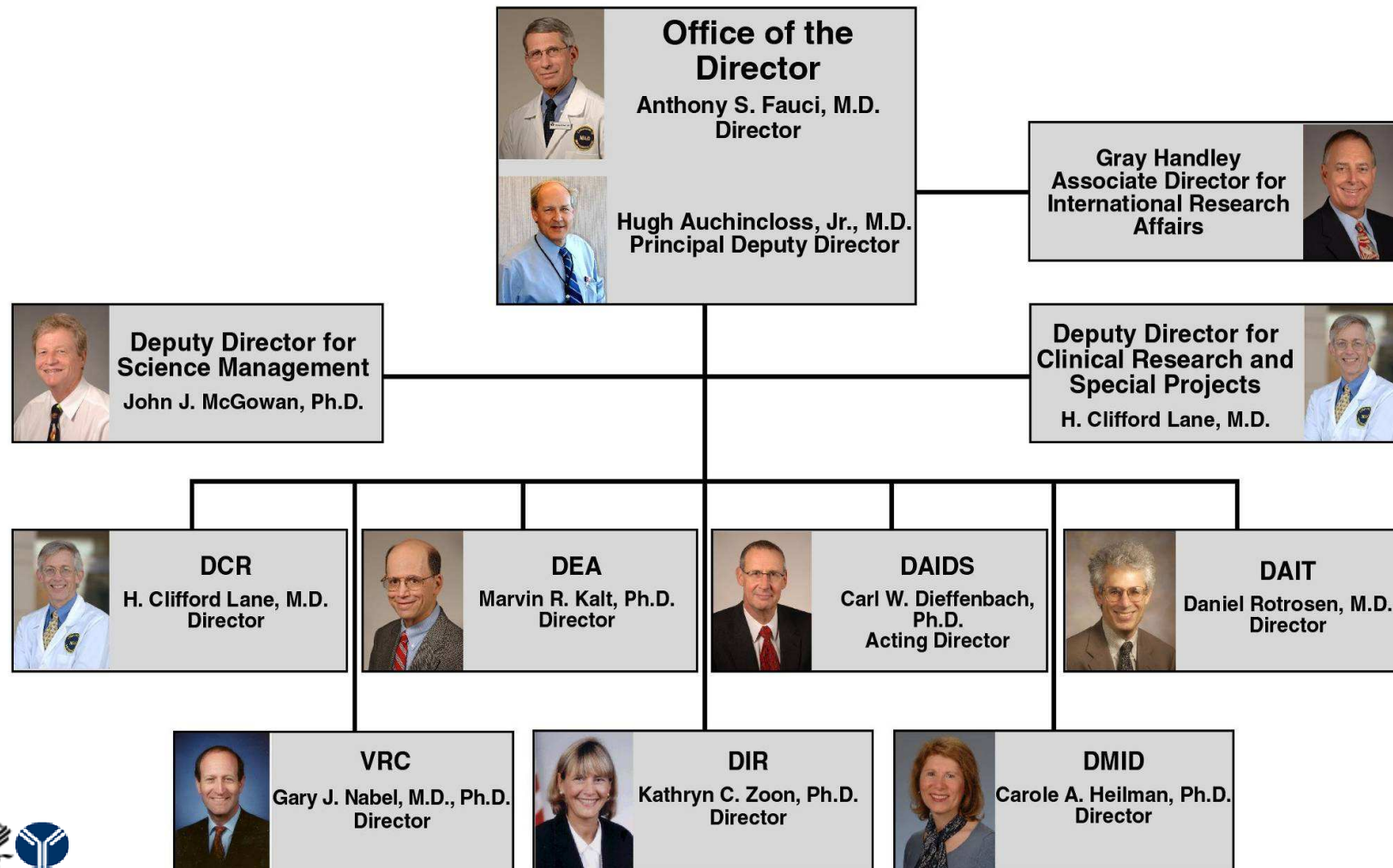
- The primary U.S. Federal agency for conducting and supporting medical research.
- Supports biomedical and behavioral research for the public good.

NIH Consists of 27 Institutes and Centers



○ = Extramural only

NIAID Organizational Structure



About NIAID

- **Mission:** *“To conduct and support research that strives to understand, treat, and ultimately prevent the multitude of infectious, immunologic, and allergic diseases that endanger the lives of millions of people nationally and globally.”*
- NIAID is the lead institute for infectious diseases and immunology research
- FY 2009 budget \$4.7 billion



NIAID Research Priorities

To Develop:

- An effective AIDS vaccine
- Technologies and medical countermeasures against Category A-C agents and emerging infectious diseases
- New medical counter measures for influenza
- Medical interventions using immune tolerance strategies
- Better capacity in developing countries to support clinical trials of vaccines and therapeutics for AIDS, malaria, TB, and other important global diseases



Funding Research



Internet: Funding Opportunities Resource

- Timely information and updates
- Includes current and relevant information
- Funding opportunities Announcements (FOA) for grants and contracts
- Funding policies, other subjects of interest to applicants



Two Major NIH Categories of Research Funding

- **Investigator Initiated Research**
 - Unsolicited
 - Investigator capitalizes on own strengths, ideas, expertise
 - Apply for program announcements (PA)
 - Research Project Grants (R01)
 - Small Grants (R03)
 - NIH Exploratory Research (R21)
 - PA's may be trans-NIH or institute-specific
- **Solicited Research**
 - Institute puts out a call for research
 - May be seeking grant applications (RFA)
 - May be seeking contracts (RFP, BAA)



NIH Award Mechanisms

- **Grants**
 - Numerous grant mechanisms
 - R01s, R21s, R03s, K99's, etc.
 - Investigator initiated (PA) or solicited (RFA)
- **Cooperative agreements**
 - “U” grants, used for complex studies, most clinical trial networks
 - Substantial NIH staff involvement
 - Solicited (RFA)
- **Contracts**
 - No1
 - Solicited (RFP, BAA)





U.S. Funding Information & Announcements

- U.S. Department of Health and Human Services
- Grants.gov: Find and apply for US federal grants
- FedBizOps: Find US federal business contracts
- NIH: Office of Extramural Research (OER)
- NIAID: Research Funding



DHHS - Grants.Gov Funding Opportunities

**GRANTS.GOVSM**

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Grants.gov is your source to FIND and APPLY for federal government grants. The U.S. Department of Health and Human Services is proud to be the managing partner for Grants.gov, an initiative that is having an unparalleled impact on the grant community. [Learn more](#) about Grants.gov and determine if you are eligible for grant opportunities offered on this site.


Grants.gov does not provide personal financial assistance. To learn where you may find personal help, check [Government Benefits](#), [Student Loans](#) and [Small Business Start-up Loans](#).

**RECOVERY.GOV**

In response to The American Recovery and Reinvestment Act or Recovery Act, Grant-making agencies are posting Recovery Act specific grant opportunities on Grants.gov. [View all opportunities >](#)

Other information and opportunities regarding the Recovery Act is available. [Learn more >](#)

Update-to-date information on the state of recovery. [Learn more >](#)



Recovery Act Grant Opportunities

Archived Webinars

Sign-up for our "Succeed" Quarterly Newsletter

Quick Links
Latest News!
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FOR APPLICANTS

- [Grant Search](#)
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FOR GRANTORS

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What's New at Grants.gov

New Opportunities This Week



September 7, 2009: Grants.gov Contact Center Closed in Observance of Labor Day

Important Notice for Applicants

[View Archived Recovery Act Webinar Series](#)

[Notices and System Information](#)

[Verify if you are an Authorized Organization Representative \(AOR\)](#)





Grants.gov Website


- Find grant opportunities
 - 26 Federal grant-making agencies
- Register
 - Allows organization to apply for U.S. grants
- Apply for grants
- Find electronic submission resources
- Track your application



NIH Grant Information: OER


U.S. Department of Health & Human Services


Office of Extramural Research
National Institutes of Health



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About Grants

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- [Grants Process Overview](#)
- [Types of Grant Programs](#)
- [How to Apply](#)
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- [NIH Financial Operations \(w/Funding Strategies\)](#)
-  NIH Research Portfolio Online Reporting Tool
Reports • Data • Analyses

Electronic Grants

- [Electronic Research Admin \(eRA\)](#)
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Funding

Funding Opportunities

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- [Small Business \(SBIR/STTR\)](#)
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NIH-Wide Initiatives

- [New and Early Stage Investigators](#)
- [Multiple Principal Investigators](#)
- [Genome-Wide Association Studies \(GWAS\)](#)
- [NIH Roadmap for Medical Research](#)
- [NIH Blueprint for Neuroscience Research](#)

Global OER Resources

- [Glossary & Acronyms](#)
- [Frequently Used Links](#)
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News & Events

News Flashes

[August 2009 NIH Extramural Nexus Now Available](#)

[New NIH Guidelines for Human Stem Cell Research Effective July 7, 2009](#)

[Fellowships \(F\) – Announcing New Business Processes & Transition to Electronic Application](#)

[More News...](#)

Hot Topics

- [Enhancing Peer Review](#)
- [NIH Public Access](#)
- [NIH Policy Notices](#)

Get Connected

- [NIH Extramural Nexus](#)
- [NIH Guide LISTSERV](#)
- [SBIR/STTR LISTSERV](#)
- [Animal Welfare \(OLAW\) LISTSERV](#)
- [eSubmission News](#)

Workshops & Seminars

- [NIH Regional Seminars on Program Funding and Grants Administration](#)

Grants Policy

- [Policy & Guidance](#)
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- [Research Involving Human Subjects](#)
- [Office of Animal Laboratory Welfare \(OLAW\)](#)
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Forms & Deadlines

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About OER

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- [OER Extramural Intranet \(NIH Staff Only\)](#)



NIH Guide for Grants and Contracts

- Official publication listing NIH funding opportunities and policy notices
- Published weekly
- List grants and contracts
 - Request for Applications (RFA)
 - Program Announcements (PA)
 - Request for Proposals (RFP)
- **Non-U.S. partners, questions to consider:**
- Does the application/proposal -
 - Present **special** opportunities for furthering research programs?
 - Describe use of **unusual talent**, resources, populations, or environmental conditions?
 - Propose research **not readily available in the United States** or that augments existing U.S. resources as well as your local population?



NIAID: Home Page

Department of Health and Human Services • National Institutes of Health



National Institute of Allergy and Infectious Diseases

Leading research to understand, treat, and prevent infectious, immunologic, and allergic diseases.

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- [Sexually Transmitted Infections](#)
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[All A to Z Topics](#)

Volunteer for Clinical Studies

Help people who are suffering from chronic, serious, or life-threatening illness by volunteering for NIAID clinical studies.

H1N1 Flu Info

- [U.S. Info](#)
- [Things You Can Do](#)
- [Plan & Prepare](#)
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[HHS.gov](#) | [CDC.gov](#)

Labs & Scientific Resources

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- [NIAID Technologies](#)
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Funding

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News & Events

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Highlights



HIV/AIDS Research

Learn about the research underway to end the global HIV/AIDS epidemic.

[Read More](#)



[Bulletin: HVTN 505 HIV Vaccine Study Begins Enrolling Volunteers](#)
Aug. 24, 2009

[Q&A: The HVTN 505 HIV Vaccine Regimen Study](#)
Aug. 24, 2009

[NIAID to Fund New Human Immune Profiling Research Centers](#)
Aug. 24, 2009

[Bulletin: NIAID to Begin Trials of Candidate H1N1 Vaccines in Children](#)
Aug. 18, 2009

[Q&A: Pediatric Trials of Candidate 2009 H1N1 Vaccine at NIAID Vaccine and Treatment Evaluation Units \(VTEUs\)](#)
Aug. 18, 2009

Web Site Tools

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NIAID: Funding Info and Announcements



National Institute of Allergy and Infectious Diseases

Leading research to understand, treat, and prevent infectious, immunologic, and allergic diseases.

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NIH Funding Opportunities Relevant to NIAID

Last updated on August 24, 2009. All NIAID-relevant FOAs, RFAs, PAs, and RFPs are listed here, newer items first. Stay informed by signing up for [Email Alerts](#). [Learn How to Filter](#) and [How to Use This Page](#).

Filter the opportunity list

Opportunity type

Application method

NIAID division

See all or parent only

Grant type code (R01)

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Reference Number, Title, and Link	Division	Type	Apply	Due Date*
RFP-NOT-OD-09-137, Small Business Innovation Research (SBIR) Program Contract Solicitation (PHS 2010-1) and Instructions	DAIDS, DAIT, DMID	Contract	Forms	2009-11-09
RFA-AI-09-036, Centers for Medical Countermeasures Against Radiation	DAIT	U19	Paper	2009-12-15
RFP-BAA-NIAID-DMID-NIHAI2009055, Development of Technologies to Facilitate the Use of, and Response to, Biodefense Vaccines	DMID	Contract	See RFP	2009-11-18
RFA-AI-09-041, Non-Human Primate Heart/Lung Transplantation Tolerance	DAIT	U01, U19	Paper	2009-12-23
RFA-AI-09-035, Immunobiology of Xenotransplantation	DAIT	U01, U19	Paper	2009-12-02
RFA-AI-09-030, HLA Region Genomics in Immune-Mediated Diseases	DAIT	U01, U19	Paper	2009-11-19
RFA-AI-09-022, Pathogenesis for Development of	DMID	U01	Paper	2009-11-09

Highlights

- [NIAID Funding News, August 26, 2009](#)

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- [NIAID and the Economic Recovery Act](#)

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- [Sample R01 Applications](#)

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- [parent program announcements](#)

- [program announcement \(PA\)](#)

- [R01](#)

- [receipt date](#)

- [request for applications](#)



Other Funding Sources

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NIAID's List of Foundations and Other Funding Sources

This page lists grant funding opportunities outside NIH that cover the same areas of science as the [NIH Funding Opportunities Relevant to NIAID](#). Use this list to launch your own search: at any point in time, any organization may or may not have relevant funding opportunities. See the [Disclaimer](#) about external links.

To suggest a foundation for the list, please email deaweb@niaid.nih.gov.

Organization and home page link	Relevant areas of research interest
Agency for Science, Technology and Research	Biomedical research and development in Singapore. <ul style="list-style-type: none">• A*STAR Investigatorship -- supports research by early-career independent investigators. Applicants should have obtained their Ph.D. or M.D. between 24 and 48 months of the application date. Applications due in May each year. Check the site in April 2010 for the latest information.• A*STAR – MRC Joint Grant Call for Proposals -- R&D collaboration in Infectious Diseases between UK-based public institutes and Singapore public sector research organizations. Applications due in April each year. Check the site in February 2010 for details. Check Grants and Funding Opportunities for upcoming opportunities.
Alfred P. Sloan Foundation	Investigator-initiated science and technology projects. Check Major Program Areas for upcoming opportunities, and read Apply For Grants to learn how to apply.
Alliance for Cancer Gene Therapy	Gene therapy research to combat cancer. Check Types of Grants for opportunities, and go to RFAs and Deadlines to find out when you'll be able to apply.
Alliance for Lupus Research	Research to prevent and cure lupus. <ul style="list-style-type: none">• Target Identification in Lupus Grant -- deadline for the 2009 letter of intent has passed. Check back in April 2010 for next year's information.• Functional Genomics and Molecular Genetic Pathways in SLE Grant. -- check the site in September 2009 for application information.

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Look It Up

See the [Glossary](#) for terms.



Applying for Grants: e-Submission

Research
Grant
Application

Grantee
Institution
Administrator

Grants.gov
&
eRA
Commons



Electronic Submission (e-Submission)

- Most types of NIH grant applications are submitted electronically via Grants.Gov
- eRA Commons is a web-based system for secure information exchange with applicants and applicant organizations
(<http://commons.era.nih.gov/>)
- Applicants must establish personal eRA Commons accounts to track review progress and to retrieve scores and summary statements



e-Submission

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► Register

Prior to submitting a grant application online through Grants.gov to NIH, an applicant organization must complete a one-time registration both at Grants.gov and eRA Commons. Principal Investigators do not have to register at Grants.gov, but must register with Commons. Please view an [overview of steps for registering](#) in both Commons and Grants.gov

Registration Requirements for Electronic Submission

DON'T DELAY, REGISTER NOW!	Grants.gov			eRA Commons
	CCR 4 registration (requires DUNS #)	Obtain and register Grants.gov credentials	Authorize Organization Rep.	eRA Commons Registration (Org. registration requires DUNS #)
Principal Investigators ¹ , 2, 3				✓
Institutions/ Organizations	✓	✓	✓	✓

¹ Principal Investigators (PI) must be affiliated with applicant organization

² PIs and Signing Officials (SO) need separate accounts in eRA Commons (even if the same person has both roles) because if an SO is given a PI's role, it overrides the SO's privileges such as the ability to reject an application, submit Just-In-Time information, etc.

³ Internet Assisted Review (IAR) registration is not enough. PIs must work through their institutions for full eRA Commons registration.

⁴ New organizations should allow extra time to obtain an Employer Identification Number (EIN)

Each registration is a multi-step process. Allow for 2-4 weeks to complete these registrations.

New businesses [i.e. those applying to the Internal Revenue Service (IRS) for an Employer Identification Number (EIN) to complete the CCR registration] should start the process **at least two months (eight weeks)** prior to the grant submission date.



Peer Review Process

- Dual Peer Review System:
- Initial peer review
 - Scientific review group
- Second level of review
 - Advisory Council or Board



Peer Review: Evaluation Criteria

- Review of applications based on NIH standard review criteria
 - Significance
 - Approach
 - Innovation
 - Investigator
 - Environment
- Also initiative specific review criteria, when applicable



Peer Review: NIH Scoring System (new)

Score	Descriptor	Additional Guidance on Strengths/Weaknesses
1	Exceptional	Exceptionally strong with essentially no weaknesses
2	Outstanding	Extremely strong with negligible weaknesses
3	Excellent	Very strong with only some minor weaknesses
4	Very Good	Strong but with numerous minor weaknesses
5	Good	Strong but with at least one moderate weakness
6	Satisfactory	Some strengths but also some moderate weaknesses
7	Fair	Some strengths but with at least one major weakness
8	Marginal	A few strengths and a few major weaknesses
9	Poor	Very few strengths and numerous major weaknesses

Decision Making

- Funding decisions
 - Details documented in each institute's procedures.
- Review products used in funding decisions
 - Impact score and percentile
 - Summary statement
 - Criterion scores
- Program factors in funding decisions
 - Relevance to institute's mission and priorities
 - Council recommendations
 - New PI
 - Other NIAID identified factors
- Institute final funding decisions based on staff and Advisory Council/Board advice



NIAID: Grants Tutorial for Foreign Investigators



National Institute of Allergy and Infectious Diseases

Leading research to understand, treat, and prevent infectious, immunologic, and allergic diseases.

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Grants Policy and Management Training for Foreign Investigators

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Part 2. Getting Your Initial Award

This is Part 2 of the Grants Policy and Management Training for Foreign Investigators.

This part starts with the first events that occur after an application has gone through peer review and NIAID approves it for funding.

Grantees will learn about the implications of their [Notice of Award](#) and role in negotiating it.

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- [Negotiation Determines Your Award](#)
- [Causes for Award Delay](#)
- [Your Award May Differ From Your Request](#)
- [Read Your Notice of Award](#)
 - [What Is in a Notice of Award?](#)
 - [Know Your Terms of Award](#)
- [Accepting the Award](#)
- [We May Issue a Revised Notice of Award](#)

Know Your Responsibilities as a Grantee

Being an NIH [grantee](#) comes with many responsibilities. You will be managing funds that come from the U.S. taxpayer, and the U.S. government takes seriously the proper management of those funds. Many requirements of NIH grants are based on U.S. law.

Learning Points

- Your responsibilities as a grantee.
- Negotiating your grant.
- Causes of delay of an award.
- Your Notice of Award and why you should read it.
- Why you need to know your Terms of Award.
- When you can start spending grant money.

Keep track of your due dates. You won't get warnings or reminders from NIAID.

Research Resources

- NIAID supports a comprehensive set of research services to qualified investigators
(<http://www3.niaid.nih.gov/LabsAndResources/resources/>)
 - Access requirements: eligibility and application process
- Basic and clinical research services
 - Biological materials – specimen repositories, specific reagents (e.g. expressed proteins, plasmids, lipids)
 - Research training opportunities – NIH campus
 - Protocols
- National Center for Research Resources (NCRR), NIH
 - Informatics support, genetic analysis, cell cultures, & more
 - More information: http://www.ncrr.nih.gov/scientific_resources/



Websites



Research Funding Opportunities

- U.S. Department of Health and Human Services
<http://www.hhs.gov/grants/>
- Grants.gov (grants)
<http://www.grants.gov/>
- FedBizOps (contracts)
<https://www.fbo.gov/>
- NIH: Office of Extramural Research (OER)
 - <http://grants.nih.gov/grants/oer.htm>
 - <http://grants.nih.gov/grants/foreign/>
- NIAID: Research Funding
<http://www3.niaid.nih.gov/researchfunding/>



e-Submission and Peer Review

- **Electronic submission of grant applications (OER)**
<http://era.nih.gov/ElectronicReceipt/>
- **e-Submission: Contact support for International applicants**
http://era.nih.gov/ElectronicReceipt/files/international_support.pdf
- **e-Submission: Tips for International Applicants**
[http://era.nih.gov/ElectronicReceipt/files/Tips for International Applicants.pdf](http://era.nih.gov/ElectronicReceipt/files/Tips_for_International_Applicants.pdf)
- **Video of a Peer Review Meeting**
<http://cms.csr.nih.gov/ResourcesforApplicants/PolicyProcedureReview+Guidelines/OverviewofPeerReviewProcess/InsidetheNIHGrantReviewProcessVideo.htm>



General NIH Guidance for Applicants

- NIH Guide for Grants and Contracts
<http://grants.nih.gov/grantsguide/index.html>
- eRA Commons
<http://commons.era.nih.gov/>
- Multiple PIs
http://grants.nih.gov/grants/multi_pi/index.htm
- New and Early Stage Investigators
http://grants.nih.gov/grants/new_investigators/index.htm



NIAID Specific Guidance for Applicants

- NIAID

<http://www3.niaid.nih.gov/>

- NIAID All About Grant Tutorials

<http://www.niaid.nih.gov/ncn/grants/default.htm>

- NIAID Grants Policy and Management Training for Foreign Investigators

<http://www.niaid.nih.gov/ncn/grants/int/grantspolicy/default.htm>



Thank You

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