

**INTERNATIONAL SCIENCE AND TECHNOLOGY CENTER (ISTC)
CONFLICT OF INTEREST and SANCTIONS PROCEEDINGS DISCLOSURE FORM**

The form should be completed by any party engaged in business or financial transaction with the ISTC

Date: _____

COMPANY/ORGANIZATION/INDIVIDUAL NAME:		
TYPE OF BUSINESS ENTITY: (If Non-Government please identify: JSC, Individual Entrepreneur, Ltd., LLC, etc.)	Government <input type="checkbox"/>	Non-Government <input type="checkbox"/>
For Kazakh Entities/Individuals: BIN/IIN		

No.	Conflict of Interest Questions	Yes/No. <small>If you answer "YES" to any of the following questions, please provide a statement explaining your answer in as complete detail as possible. Attach additional pages if necessary</small>
1	Are you involved in activities that breach, or might reasonably be perceived to breach, any of the principles governing projects supported by the funds of ISTC?	
2	Are you involved in any other organizations whose interests might conflict with those of ISTC?	
3	Do any representatives or employees of your company/organization or their immediate family members* currently have any businesses or financial relationships with the ISTC? If Yes, please provide the names and other relevant data.	
4	Have any representatives or employees of your company/organization or their immediate family members ever been employed by ISTC? If Yes, please provide the names and other relevant data.	
5	Do any legal disputes currently exist between any representatives or employees of your company/organization or their immediate family members and the ISTC? If Yes, please provide the names and other relevant data.	
6	Do you have a currently valid agreement with your company/organization or any other organization that assigns to them any or all copyright or intellectual property rights regarding papers or other writing you may create during your engagement by ISTC? If Yes, please attach a copy of the agreement.	
7	Have you, anyone from your company/organization or immediate family disclosed, divulged, or utilized any confidential information of ISTC to any other third party?	
8	Are you involved in, or have you been involved with, any litigation, arbitration, or administrative investigation or proceeding?	
	Sanctions Proceedings Questions	
9	Have you, anyone from your company/organization having powers of representation, decision-making or control, member of your administrative, management or supervisory body been the subject of final judgement or of a final administrative decision for one of the following reasons:	
a)	Bankruptcy, insolvency of winding-up procedures;	
b)	Breach of obligations relating to the payment of taxes or social security contributions;	
c)	Grave professional misconduct, including misrepresentation;	
d)	Fraud;	
e)	Corruption;	
f)	Conduct related to a criminal organization;	
g)	Money laundering or terrorist financing;	
h)	Terrorist offences or offences linked to terrorist activities;	
i)	Child labor and other trafficking in human beings;	
j)	Irregularity;	
k)	Creating a shell company;	

l)	Being a shell company?	
10	Are there any other conditions that may cause a conflict of interest or the appearance of a conflict?	

I certify that I have reviewed and understand all ISTC requirements related to the conflicts of interest and sanctions proceedings; I comply with those requirements and the information I have provided in this questionnaire is true, accurate, and complete to the best of my knowledge. Should any information provided in my responses become incomplete or inaccurate, I understand that I am required and obligated to revise or supplement the information in a timely manner.

I certify that by signing this form I express the consent of the company/organization and the management is aware of the financial gain that I may receive from my work for ISTC and the working time that I may devote to the activities within the contract with ISTC.

I, on my own behalf and on behalf of the company/organization, confirm the commitment to render support to works and services in the framework of cooperation with ISTC. Entering into a contract/engagement with ISTC is fully compatible with my position and job-related responsibilities at my company/organization

.....

Name and Title:

Signature. Stamp (if available)

Note that typing your name on this document is not sufficient. However, it is sufficient to create an electronic signature or verify the document by putting a handwritten signature with a stamp of your company/organization (if any) immediately after your name. By placing your handwritten or e-signature on this document you agree to be bound by its terms.

*An **immediate family** member means: • spouse • a child, grandchild, parent, grandparent, brother, sister, uncle, aunt, nephew, niece or the spouse of any such person • a person having a step-relationship described above • parents-in-law, brothers- or sisters-in-law, sons- or daughters-in-law • any person who resides in the same household as you.